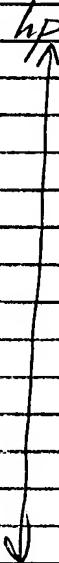
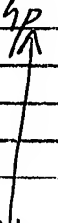


FORM PTO-1449/A and B (modified PTO/SB/08) INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICATION NO.: 10/810,481		ATTY. DOCKET NO.: C1104.70082US02	
				FILING DATE: March 26, 2004		CONFIRMATION NO.: 9785	
				APPLICANT: George G. Mueller et al.			
				GROUP ART UNIT: 2821		EXAMINER: Haissa Philogene	
Sheet	1	of	2				

U.S. PATENT DOCUMENTS

Examiner's Initials #	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or Issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		1324008		D'Humy	12/02/1919
		3201576		Scott	08/17/1965
		3696263		Wacher	10/03/1972
		4641227		Kusuhara	02/03/1987
		5060118		Penrod	10/22/1991
		5217285		Sopori	06/08/1993
		5384519		Gotoh	01/24/1995
		5515136		Nishio	05/07/1996
		5544037		Luger	08/06/1996
		5707139		Haitz	01/13/1998
		5806965		Deese	09/15/1998
		5982957		DeCaro	11/09/1999
		6161941		Tait	12/19/2000
		6252254		Soules	06/26/2001
		6259430		Riddle et al.	07/10/2001
		6508564		Kuwabara et al.	01/21/2003

FOREIGN PATENT DOCUMENTS

Examiner's Initials #	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
		CH	253968		Herzer	12/01/1948	Y
		DE	29620583	U1	Kundisch Microtech GmbH	03/27/1997	Y
		EP	0490329	A1	Tridonic Bauelemente GmbH	06/17/1992	N
		EP	0689373	A2	Tridonic Bauelemente GmbH	12/27/1995	N
		EP	0701390	A2	Tridonic Bauelemente GmbH	03/13/1996	Y
		EP	0639938	A1	Tridonic Bauelemente GmbH	02/22/1995	Y - (See US5544037)
		DE	20007134	U1	Patent-Treuhand-Gesellschaft GmbH	09/21/2000	Y

FORM PTO-1449/A and B (modified PTO/SB/08) INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICATION NO.: 10/810,481	ATTY. DOCKET NO.: C1104.70082US02
	FILING DATE: March 26, 2004	CONFIRMATION NO.: 9785
	APPLICANT: George G. Mueller et al.	
	GROUP ART UNIT: 2828 2821	EXAMINER: Haissa Philogene

OTHER ART -- NON PATENT LITERATURE DOCUMENTS

Examiner's Initials #	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
hp		MUNCH, W., "Fortschritte in der Bewertung der Farbwiedergabe durch Lichtquellen." Tagungsbericht uber das IV, Internationale Kolloquium an der Hochschule fur Elektronik Ilmenau, October 1959	Y
		BASS, M, "Handbook of Optics," McGraw Hill, USA, 1995, page 26.33	
		GIRARDET, V. W., "Handbuch fur Beleuchtung," Essen, Germany 1975	Y
		GANSLANDT et al., "Handbuch der Lichtplanung," Vieweg + Sohn, Wiesbaden, 1992	Y
		NAKAMURA, S., "The Blue Laser Diode," Seiten 7-10, pp 216-221, Springer Verlag, Berlin, Germany, 1997	
		Opposition Brief, May 8, 2006, by Osram GmbH, opposing European Patent No. 1234140, pp 1-21	Y
		Opposition Brief, May 4, 2006, by Tridonic Atco GmbH and Co. KG, opposing European Patent No. 1234140, pp 1-21	
		Opposition Brief, May 10, 2006, by ERCO Leuchten GmbH, opposing European Patent No. 1234140, pp 1-20	Y
hp		Opposition Brief, May 10, 2006, by Koninklijke Philips Electronics N.V., opposing European Patent No. 1234140, pp 1-24	

EXAMINER: <i>Haissa Philogene</i>	DATE CONSIDERED: 11/10/06
-----------------------------------	---------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

[NOTE - No copies of U.S. patents, published U.S. patent applications, or pending, unpublished patent applications stored in the USPTO's Image File Wrapper (IFW) system, are included. See 37 CFR §1.98 and 1287OG163. Copies of all other patent(s), publication(s), unpublished, pending U.S. patent applications, or other information listed are provided as required by 37 CFR §1.98 unless 1) such copies were provided in an IDS in an earlier application that complies with 37 CFR §1.98, and 2) the earlier application is relied upon for an earlier filing date under 35 U.S.C. §120.

8/7/06

COPY

FORM PTO-1449/A and B (Modified)				APPLICATION NO.: 10/810,481		ATTY. DOCKET NO.: C11047082.21	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				FILING DATE: March 26, 2004			
				APPLICANT: George G. Mueller, et al.			
				GROUP ART UNIT: 2828 2821		EXAMINER: H. Philogene	
Sheet	1	of	1				

U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of Issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
hp		6,787,999	B2	Stimac et al.	09/07/2004

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
hp		JP	2-269939			11/05/1990	Y

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No.	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	
hp		United States District Court, Case No. 02 CV 11137 MBL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Response to Color Kinetics' Motion for Summary Judgment on the Issue of Inequitable Conduct."		
hp		United States District Court, Case No. 02 CV 11137 MBL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Response to Color Kinetics' Motion for Summary Judgment on the Issue of Infringement"		
hp		United States District Court, Case No. 02 CV 11137 MBL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Response to Color Kinetics' Motion for Summary Judgment on the Issue of Invalidity"		
hp		United States District Court, Case No. 02 CV 11137 MBL, Color Kinetics Incorporated v. Super Vision International, Inc., "Super Vision International, Inc.'s Response to Color Kinetics' Opening Memorandum Concerning Claim Construction"		
EXAMINER		<i>Heidi Philogene</i>	DATE CONSIDERED	
			11/10/06	

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.